



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/845,612         | 04/30/2001          | Hongtao Yu            | UTSD:0795              |

## CONFIRMATION NO. 2625

## FORMALITIES LETTER



\*OC00000006140403\*

FULBRIGHT & JAWORSKI L.L.P.  
 Steven Highlander  
 Suite 2400  
 600 Congress Avenue  
 Austin, TX 78701

#4

Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/09/2002 STEUHLL1 00000039 09845612

01 FC:205

65.00 OP

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS  
ORIGINALLY FILED*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

O I P E  
JAN 04 2002  
P A T E N T & T R ADEMARK OFFICE

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November 5, 2001

FILE: UTSD:795US

**COPY OF PAPERS  
ORIGINALLY FILED**

BOX MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

|  |      |
|--|------|
| CERTIFICATE OF MAILING<br>37 C.F.R. 1.8  |      |
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below: |      |
| November 5, 2001   | Date |
| Steven L. Highlander   |      |

RE: SN 09/845,612 "A CLASS OF 12MER PEPTIDES THAT INHIBIT THE FUNCTION OF THE MITOTIC CHECK POINT PROTEIN MAD2" - Hongtao Yu et al.

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed June 4, 2001;
- (2) Declaration of Hongtao Yu, Zhanyun Tang, Xuelian Luo, and Jose Rizo-Rey;
- (3) Power of Attorney;
- (4) Statement as Required under 37 C.F.R. §1.825(a) and (b) and Statement as Required under 37 C.F.R. § 1.821(g);
- (5) Substitute Computer Readable Form;
- (6) Substitute Paper Copy of Sequence;
- (7) Request for Extension of Time;
- (8) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;

Commissioner for Patents

November 5, 2001

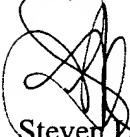
Page 2

(9) Check in the amount of \$525.00; and

(10) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10101381/SLH.

Respectfully submitted,



Steven E. Highlander

Reg. No. 37,642

SLH/mar

Encl: as noted



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Hongtao Yu et al.

Serial No.: 09/845,612

Filed: April 30, 2001

For: A CLASS OF 12MER PEPTIDES THAT  
INHIBIT THE FUNCTION OF THE  
MITOTIC CHECK POINT PROTEIN  
MAD2

Group Art Unit: 1646

Examiner: Unknown

Atty. Dkt. No.: UTSD:795US/SLH

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| CERTIFICATE OF MAILING<br>37 C.F.R 1.8   |      |
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below: |      |
| November 5, 2001   | Date |
| Steven L. Highlander   |      |

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated June 4, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Hongtao Yu, Zhanyun Tang, Xuelian Luo and Jose Rizo-Rey;
- (b) A Power of Attorney on behalf of Board of Regents, The University of Texas System;
- (c) Statement as Required under 37 C.F.R. § 1.825(a) and (b) and Statement as Required under 37 C.F.R. § 1.821(g);

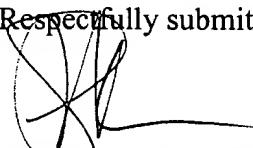
- (d) Substitute Computer Readable Form;
- (e) Substitute Paper Copy of Sequence;
- (f) Our check in the amount of \$525.00 to cover the surcharge for late filing (\$65.00);  
three month extension of time fee (\$460.00);
- (g) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under  
37 C.F.R. 1.53(b)-Filing Date Granted; and
- (h) A Request for Extension of Time of three months to and including November 4,  
2001. Should such request be absent, consider this such a request and authorization  
to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to Board of Regents, The University of Texas System and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10101381/SLH.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Steven L. Highlander  
Reg. No. 37,642  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
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(512) 474-5201

Date: November 5, 2001